

The Commission for Local Administration in England

The Local Government Ombudsman's Annual Letter **South Ribble Borough Council** for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

Annual Letter 2006/07 - Introduction

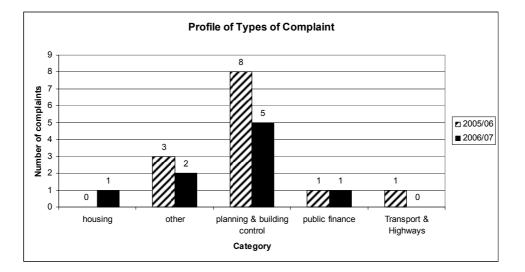
This annual letter provides a summary of the complaints we have received about your authority. Where possible, we comment on the authority's performance and complaint-handling arrangements to assist with your service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

Complaints received

I received just nine complaints about your Council in the year ending 31 March 2007. This is a reduction of four on the previous year's 13.



As the above chart shows, the profile of complaints is very similar to last year, with planning complaints accounting for over half of the total received.

The actual number of complaints does not enable meaningful conclusions to be drawn but like last year, the Council might wish to reflect on why planning complaints still comprise such a large proportion of the total (55.5% against a national average of 23.6%). The Council might find it helpful to do this in the context of the feedback it receives from other sources, such as its own complaints procedure.

Despite the high proportion of planning complaints, the Council can draw comfort from the fact that none of my investigations have identified maladministration in the way planning services are delivered.

Decisions on complaints

Reports and local settlements

A 'local settlement' is a complaint that is resolved by the Council taking, or agreeing to take, action which we consider is a satisfactory response to the complaint so that the investigation can be discontinued. In 2006/07 27.7% of complaints dealt with by the three Local Government Ombudsmen (excluding premature and those outside jurisdiction) were resolved by local settlement. When we complete an investigation we must issue a report.

I did not issue any reports about your Council in 2006/07 nor did I find fault that led me to seek any local settlements.

Decisions

In 2006/07 I took 10 decisions, a figure which differs from the number of complaints received because of work in hand at the start and finish of the year.

Of those 10 decisions: one complaint I exercised discretion not to investigate, in three (all planning) I found no evidence of maladministration and six were 'premature' (in our view the Council had not been given adequate opportunity to investigate and resolve them for itself, so were returned to the Council to consider through its internal complaint procedure).

Overall I did not find issues that gave significant concern or that were indicative of systemic problems in the way the Council administers its services.

Other findings

As in previous years, the Council continues to respond within the requested 28 days. In 2006/07 you took just 25 days. I am grateful for your continuing co-operation in this respect.

Your Council's complaints procedure and handling of complaints

None of my investigations have given rise to concerns about the way in which your Council handles complaints. Your complaint procedure is explained clearly in the information you publish and accessible through very helpful and effective links on your web-site. I am pleased to see also that your web-site contains direct links to the LGO.

Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from councils that have taken up the training is very positive.

The range of courses is expanding in response to demand and in addition to the generic Good Complaint Handing (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution), we can run open courses for groups of staff from smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

Liaison with the Local Government Ombudsman

The very good response times and lack of problems indicate to me that the liaison arrangements your Council has in place work effectively. Your designated liaison staff continue to provide us with a helpful and responsive service.

LGO developments

You may be interested in the development of our initiative to improve the first contact that people have with us. A new Access and Advice Service will provide a gateway to our services for all complainants and enquirers. It will encourage telephone contact but will also deal with email, text and letter correspondence. We will let you have further details about how it will operate and the expected timescales and discuss with you the implications for the Council.

I hope you have received our latest special report about telecommunications masts. It draws on our experience of dealing with complaints about planning applications for masts which can be highly controversial. We recommend simple measures that councils can adopt to minimise the chances of maladministration occurring.

In July we will be publishing a special report about the difficulties that can be encountered with complaints when local authorities deliver services or discharge their functions through partnerships. *Local partnerships and citizen* redress provides advice and guidance on how these problems can be overcome by good governance arrangements that include an effective complaints protocol.

Conclusions and general observations

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Anne Seex Local Government Ombudsman Beverley House 17 Shipton Road York YO30 5FZ

June 2007

Encs: Statistical data Note on interpretation of statistics Leaflet on training courses (with posted copy only)

Complaints received by subject area	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
01/04/2006 - 31/03/2007	1	2	5	1	0	9
2005 / 2006	0	3	8	1	1	13
2004 / 2005	3	3	6	2	0	14

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

I	Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
	01/04/2006 - 31/03/2007	0	0	0	0	3	1	0	6	4	10
	2005 / 2006	0	1	0	0	6	1	1	2	9	11
	2004 / 2005	0	0	0	0	3	2	1	7	6	13

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2006 - 31/03/2007	3	25.3			
2005 / 2006	7	19.7			
2004 / 2005	2	21.5			

Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0